

Docket No. 214250US8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazutaka NARA, et al.

SERIAL NO: 10/020,220

GAU: 2874

FILED: December 18, 2001

EXAMINER:

FOR: ARRAYED WAVEGUIDE GRATING...

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

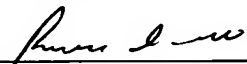
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Bradley D. Lytle

Registration No. 40,073



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/01)
BDL:djn

BEST AVAILABLE COPY

RECEIVED
FEB 11 2002
TC 2800 MAIL ROOM



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
194589US2	09/667,563	09/22/00	PENDING
198332US2	09/741,792	12/22/00	PENDING
198334US2	09/741,824	12/22/00	PENDING
201045US8 CONT	09/828,827	04/10/01	PENDING
205045US2	09/818,592	03/28/01	PENDING
205303US2	09/817,067	03/27/01	PENDING
206226US2	09/836,354	04/18/01	PENDING
211337US8	09/904,847	07/16/01	PENDING
211874US8	10/026,722	12/27/01	PENDING
214092US8 CIP	09/986,029	11/07/01	PENDING
214250US8*	10/020,220	12/18/01	PENDING

RECEIVED

FEB 11 2002

TC 2600 MAIL ROOM

*Present application; listed for information

BDL/sdj

I:\em\EMRel\190s-204s\214250US LISTbckf.wpd

BEST AVAILABLE C